

# REQUEST FOR FILING A PATENT APPLICATION UNDER 37 CFR 1.60 (Large Entity)

DOCKET NUMBER	ANTICIPATED CLASSIFICATION		PRIOR APPLICATION	ART UNIT
M203I-D 36316.20353	CLASS 606	SUBCLASS	08/801,795	3301

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR 1.60 of pending prior application, Serial Number 08/801,795 filed on February 14, 1997 and entitled:  
**Endovascular Electrolytically Detachable Wire and Tip for the Formation of Thrombus in Arteries, Veins, Aneurysms, Vascular Malformations and Arteriovenous Fistulas**

1. Enclosed is a copy of the latest inventor-signed prior application, including a copy of the oath or declaration showing the original signature or an indication it was signed. I hereby verify that the attached papers are a true copy of the latest signed prior application, Serial Number 08/801,795, and further that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like are made punishable by fine or imprisonment or both, under section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

## CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	1	- 20 =	0	x \$22.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$82.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$790.00
TOTAL FILING FEE					\$790.00

2. ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 04-0259 A duplicate copy of this sheet is enclosed.
3. ☒ A check in the amount of \$790.00 is enclosed.
4. ☒ Cancel in this application original claims 2-24 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
5. ☒ Amend the specification by inserting before the first line the sentence: "This application is a ☒ continuation ☐ division of application Serial Number 08/801,795 filed February 14, 1997 which application is now:  
☐ abandoned.  
☒ pending.  
☐ other (explain):
6. ☐ Transfer the drawings from the pending prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application. (May only be used if signed by person authorized by 37 CFR 1.138 and before payment of issue fee.)

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7. ☐ New formal drawings are enclosed.
8. ☐ Priority of foreign application number \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_  
\_\_\_\_\_ is claimed under 35 U.S.C. 119.  
*Country*
- ☐ The certified copy has been filed in prior application Serial Number \_\_\_\_\_ filed on \_\_\_\_\_
9. ☒ A preliminary amendment is enclosed.
10. ☒ The prior application is assigned of record to:  
**The Regents of the University of California**  
**Target Therapeutics**
11. ☒ The inventor(s) of the invention being claimed in this application is (are):  
**Guido Guglielmi**
12. ☒ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 CFR 1.60(b), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application:  
**Ivan Sepetka**
13. ☒ Also enclosed:  
**Information Disclosure Citation**

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14. ☒ The power of attorney in the prior application is to:  
Daniel L. Dawes

- a. ☒ The power of attorney appears in the original papers in the prior application.
- b. ☐ Since the power of attorney does not appear in the original papers, a copy of the power of attorney in the prior application is enclosed.
- c. ☒ Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record.)

Daniel L. Dawes  
5252 Kenilworth Drive  
Huntington Beach, CA 92649

Dated: October 3 1997

  
Signature

Daniel L. Dawes

*Typed or printed name*

27123

*Registration Number (if applicable)*

- ☐ Inventor(s)
- ☐ Assignee of complete interest
- ☒ Attorney or agent of record
- ☐ Filed under 37 C.F.R. 1.34(a)

cc: The Regents of the University of California

**AMENDMENT TRANSMITTAL LETTER (Large Entity)**

Applicant(s): Guido Guglielmi

Docket No.

M2031-D 36316.20353

Serial No.  
unassignedFiling Date  
unassignedExaminer  
CohenGroup Art Unit  
3301

Invention: Endovascular Electrolytically Detachable Wire and Tip for the Formation of Thrombus in Arteries, Veins, Aneurysms, Vascular Malformations and Arteriovenous Fistulas

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

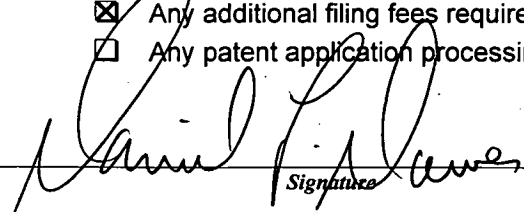
Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	6 -	20 =	0 x	\$22.00	\$0.00
INDEP. CLAIMS	1 -	3 =	0 x	\$82.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 04-0259  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

  
Signature  
Daniel L. Dawes  
Attorney for Record  
Registration No. 27123  
5252 Kenilworth Drive  
Huntington Beach, CA 92649  
714/644-7740

Dated: October 3, 1997

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

cc: The Regents of the University of California